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# **Research Article**



# Formulating a Novel Herbal Mouthwash (Oak Gall Mouthwash) and Evaluating Its Antibacterial and Antifungal Effects on 5 Common Oral Microorganisms

Fereshteh Golfakhrabadi<sup>1</sup>, Sara Shokatipour<sup>2</sup>, Effat Abbasi-Montazeri<sup>3,4</sup>, Vahid Rakhshan<sup>5</sup>, Fatemeh Babadi in <sup>6,\*</sup>

<sup>1</sup> Department of Pharmacognosy, Medicinal Plant Research Center, School of Pharmacy, Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran

<sup>2</sup> Dental Student, School of Dentistry, Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran

<sup>3</sup> Infectious and Tropical Diseases Research Center, Health Research Institute, Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran

<sup>4</sup> Department of Microbiology, Faculty of Medicine, Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran

<sup>5</sup> Dentist in Private Practice, Tehran, Iran

<sup>6</sup> Department of Oral and Maxillofacial Medicine, School of Dentistry, Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran

\* Corresponding Author: Department of Oral and Maxillofacial Medicine, School of Dentistry, Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran. Email: babadi.fatemeh@yahoo.com

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# Abstract

Background: Herbal mouthwashes improve oral hygiene due to antimicrobial activity and dental plaque control.

**Objectives:** This study aims to investigate the antimicrobial effects of oak gall mouthwash (OGM) against five strains of *Candida* and *Streptococcus*.

**Methods:** The extraction of oak gall was done by maceration method with 70% ethanol, with an aqueous base. The broth microdilution method was used to check the minimum inhibitory concentration (MIC) against *Candida tropicalis*, *C. albicans*, *Streptococcus Sanguis*, *S. mutans*, and *S. salivarius*. After culture, the mouthwash's minimum bactericidal concentration (MBC) was determined against the same microorganisms. Data were analyzed using Kruskal-Wallis and one-sample Wilcoxon tests.

**Results:** Minimum inhibitory concentration values were as follows (mg/mL): *C. albicans* (0.390  $\pm$  0.000), *C. tropicalis* (0.305  $\pm$  0.252), *S. mutans* (0.388  $\pm$  0.215), *S. Sanguis* (0.033  $\pm$  0.010), and *S. salivarius* (0.027  $\pm$  0.010). Minimum bactericidal concentration values were as follows (mg/mL): *C. albicans* (1.301  $\pm$  0.404), *C. tropicalis* (0.650  $\pm$  0.201), *S. mutans* (1.171  $\pm$  0.605), *S. sanguis* (0.115  $\pm$  0.061), and *S. salivarius* (0.650  $\pm$  0.201), *S. mutans* (1.171  $\pm$  0.605), *S. sanguis* (0.115  $\pm$  0.061), and *S. salivarius* (0.115  $\pm$  0.061). The one-sample Wilcoxon test showed that all MIC and MBC values for all 5 microorganisms were significantly greater than zero (P < 0.05). The Kruskal-Wallis test showed significant overall differences among the microorganisms in terms of their MIC (P < 0.05) and MBC (P < 0.05). The post hoc analyses showed 4 significant pairwise comparisons for MBC (P < 0.05).

**Conclusions:** This study suggested that OGM is useful in inhibition of *Candida* and *Streptococcus*. Based on our data, the highest and lowest sensitivity to OGM were found on *S. salivarius* and *C. albicans*, respectively.

Keywords: Oak Gall, Microorganism, Mouthwash, Antibacterial Effects, Antifungal Effects

## 1. Background

One of the most important plant genera in Iran's northern and central regions is the oak genus (Quercus), which consists of 45 different species (1). The formation of a gall on the Oak tree is a natural phenomenon following the sting of various insects, especially bees. The extract obtained from oak galls contains phenolic compounds such as tannic acid and gallic acid. These compounds have antibacterial, antiviral, antifungal, and insect pest effects (2, 3). Acid, the primary cause of tooth decay, is secreted by bacteria. Gram-positive bacteria, such as *Streptococci mutans*, *Sanguis*, *Mitis*, *Oralis*, and intermedius make up around 56% of the bacteria that induce gingivitis brought on by dental plaque (4). Conversely, another opportunistic infection (OI) is

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*Candida* infection. Nowadays, oral nystatin and other systemic and local therapies are utilized to treat *Candida infections*. The primary drawbacks of this treatment are its bitter taste, frequent ingestion necessity, and preparation requirements (5). Therefore, a different approach without the aforementioned restrictions is required.

Mouthwashes are essential in plaque control and gingivitis. Along with having a broad antibacterial range, the ideal mouthwash should also have little drug resistance and a lower propensity to eradicate the mouth's natural microbiota (6). Chlorhexidine and other chemical mouthwashes are commonly used. Chlorhexidine mouthwashes have three primary benefits: Non-toxicity, long-term effects, and favorable antibacterial activity. However, some of its drawbacks include tooth discoloration, altered taste perception, gingivitis, dry mouth, and unpleasant effects after swallowing. Researchers have increasingly focused on herbal mouthwashes as a way to get around these restrictions. Herbal mouthwashes are preferable to chlorhexidine because they include natural ingredients and are less harmful (7).

Given the importance of the matter and the possibility of the use of antimicrobial effects of oak gall extract, and also given the lack of studies in this regard, this exploratory in vitro study was conducted.

# 2. Objectives

Its purpose was to prepare a novel herbal mouthwash from Iranian oak gall extract and investigate its antimicrobial effects on five oral pathogens: *Candida tropicalis, C. albicans, Streptococcus sanguis, S. mutans,* and *S. salivarius.* As clinical implications, if proven effective, such an herbal mouthwash may replace chemical mouthwashes in some scenarios.

# 3. Methods

This preliminary explorative in vitro study was performed on five oral pathogens. The study was approved by the Ethics Committee of Ahvaz Jundishapur University of Medical Sciences, Ahvaz, Iran (ethics code: IR.AJUMS.REC.1400.490).

## 3.1. Sample Collection

First, library studies on plant distribution in Iran were carried out with the assistance of flora books and research studies. The harvested plant was stored out of direct sunlight at around 20°C to 28°C (8). *Quercus brantii* galls were gathered from Yasuj, Iran.

Pharmacologists identified and verified the plant; it was assigned the herbarium specimen number A2411111GP. The specimen has been placed in the Medicinal Plant Research Center's herbarium at the Ahvaz Jundishapur University of Medical Sciences' Faculty of Pharmacy in Ahvaz, Iran.

# 3.2. Extraction

The plant was dried and pulverized at lab temperature in order to obtain its aerial portion (8). The extract was extracted from the ground plant by maceration method with 70% ethanol thrice for two days. Then the extract was passed through a double-layer cloth and filtered using Whatman No. 1 filter paper with a vacuum pump device. At 40°C, an extract was concentrated using a vacuum distillation process. The extract was then put into 300 mL glass tubes and freeze-dried for 24 hours to turn it into a powder. A container was used to store the dried powder at a temperature of  $4^{\circ}C(8)$ .

# 3.3. Preparation of Oak Gall Mouthwash

To prepare the experimental mouthwash, oak gall extract, distilled water, glycerin (1%), phosphoric acid (0.01%), ethanol (8%), sodium benzoate (0.1%), and sodium (0.1%) were used. The extraction yield was 14%. The produced mouthwash was examined for its pH and viscosity (8). In the first investigation, the change in color of the pH paper indicated that the pH was 4 (acidic); hence, the mouthwash was gradually mixed with sodium bicarbonate until the pH reached seven. Next, a DV-II+ Pro viscometer (Brookfield Engineering, Middleboro, Massachusetts, USA) was used to evaluate the viscosity (8). At 5% torque and 100 RPM, the viscosity of the prepared mouthwash was 30 CP (centipoise).

# 3.4. Microbial Culture

We followed Iran's Health Ministry's established procedures for autoclaving all media and other materials in order to sterilize the culture media and other materials utilized in this investigation. We also worked close to the flame, wore disposable gloves, and utilized sampling tips to reduce any potential contamination. Standard strains of the American type culture collection (ATCC) were used in this study. The Scientific and Industrial Research Center (Iran) provided the samples as lyophilized vials. These vials were injected into the sterile trypticase soy broth (TSB) medium obtained from Merck, Germany. Then they were incubated at 37°C for 48 hours. Afterwards, a solid brain heart infusion agar (BHIA) medium obtained from Merck (Germany) was used to culture the fungal and bacterial suspensions *C. tropicalis* (ATCC20336), *C. albicans* (ATCC10231), *S. Sanguis* (ATCC10556), *S. mutans* (ATCC35668), and *S. salivarius* (ATCC 9222) for 48 hours in order to assess the formation of microbial colonies (8).

# 3.5. Trypticase Soy Broth Culture Medium

Trypticase soy broth solution was prepared by dissolving 30 grams of powder (TSB, Merck) in distilled water (1 liter). Afterwards, 1 minute of boiling was done to complete the dissolution of the powder. The TBS solution was poured into tubes. An autoclave was used to sterilize the tubes and TBS solutions for 15 min at 121°C. After sterilization, the tubes were stored in a fridge (8). To standardize the broth media, we used a pH meter (pH:  $7.5 \pm 0.2$  at  $25^{\circ}$ C).

## 3.6. Minimum Inhibitory Concentration

In this research, the microbroth dilution method was used to determine the minimum inhibitory concentration (MIC) of the herbal mouthwash of oak gall in mg/mL. For this purpose, 200 mg/mL oak gall mouthwash (OGM) solution was readied. Afterwards, sterile microplates were used to dilute the mouthrinse in a serial concentration using sterile distilled water. This reduced the concentration of the mouthwash 2 to 1.

A 0.5 McFarland TSB culture medium of  $1.5 \times 10^8$  with OD = 0.08 to 0.1 at absorbance wavelength of 600 nm was used for microbial suspension. Next, a TSB medium

(~10<sup>6</sup> CFU/mL) was used to dilute it 1:100. Finally, in each of the wells, 100  $\mu$ L of the mouthwash were added with twofold dilutions and 100 µL of microbial suspension. This made the concentration of the final microbial suspension of each of the wells  $\sim 5 \times 10^5$  CFU/mL. Of the wells, one was reserved as the inoculation negative control: This well did not include any microorganisms but contained the mouthwash and TSB culture medium (8). Another well was prepared as a positive control for treatment, which only included microbial suspension without mouthwash. The microplate was placed in a greenhouse at 37°C for 18 - 24 hours. Lastly, MIC or Minimum Inhibitory Concentration was defined as the smallest mouthrinse concentration where no microbial growth would be detectable visually (8) (Figure 1). In addition, for the quality control of the culture media, the standard species such as Escherichia coli ATCC 25922 and Staphylococcus aureus (ATCC25923) were used. For examining the validity of the experiments, the assay was performed in triplicate.

#### 3.7. Minimum Bactericidal Concentration

The minimum bactericidal concentration (MBC) was determined in mg/ml using the broth microdilution method: An MHA plate was used to culture, at 37°C for 24 hours, 20  $\mu$ L of the contents of the MIC well as well as two wells with concentrations greater than that of MIC. Then, any colonies grown on the plates were counted. The MBC was defined as the smallest concentration that could reduce growth for at least log 3 of the original concentration (5 × 10<sup>5</sup> CFU/mL). Each experiment was repeated six times in order to ensure the high accuracy of the MIC and MBC findings for each of the five oral pathogens (8).

# 3.8. Statistical Analysis

Descriptive statistics were computed. Kolmogorov-Smirnov and Shapiro-Wilk tests were used for normality assessment. Kruskal-Wallis and Bonferroni adjusted post hoc test. One-sample Wilcoxon tests of SPSS 27 (IBM, Armonk, NY, USA) was used for comparing MIC and MBC values of different microorganisms with the value zero. The level of significance was predetermined as 0.05.

# 4. Results

Table 1 shows descriptive statistics for all MIC and MBC measurements pertaining to all microorganisms evaluated. Figures 2 and 3 show descriptive statistics for MIC and MBC, respectively. The one-sample Wilcoxon test showed that MICs and MBCs of all microorganisms were above zero (Table 2).

# 4.1. Minimum Inhibitory Concentration

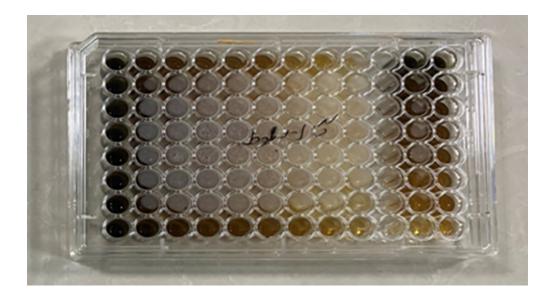
The Kruskal-Wallis test showed a significant overall difference among the MIC values of the 5 microorganisms (P = 0.000, Table 1 and Figure 2). The results of the Bonferroni-adjusted post hoc test showed 4 pairwise comparisons (Table 3).

### 4.2. Minimum Bactericidal Concentration

The Kruskal-Wallis test showed a significant overall difference among the MBC values of the 5 microorganisms (P = 0.000, Table 1, Figure 3). The results of the Bonferroni-adjusted post hoc test showed 4 pairwise comparisons (Table 4).

# 5. Discussion

Because their natural constituents are less harmful and more compatible with the body's physiology, herbal mouthwashes are more appropriate (9, 10). Previous



#### Figure 1. Cultivation by pitting method

ariables and Organism	Mean ± SD	Median (Min - Max)	Q1, Q3
IIC (mg/mL)			
Candida albicans	$0.390\pm0.000$	0.390 (0.390 - 0.390)	0.390, 0.390
Candida tropicalis	$0.305\pm0.252$	0.190 (0.090 - 0.780)	0.190, 0.340
Streptococcus mutans	$0.388\pm0.215$	0.390 (0.190 - 0.780)	0.240, 0.390
Streptococcus sanguis	$0.033\pm0.010$	0.040(0.020-0.040)	0.025, 0.040
Streptococcus salivarius	$0.027\pm0.010$	0.020 (0.020 - 0.040)	0.020, 0.035
IBC (mg/mL)			
Candida albicans	$1.301 \pm 0.404$	1.562 (0.780 - 1.562)	0.976, 1.562
Candida tropicalis	$0.650\pm0.201$	0.780 (0.390 - 0.780)	0.488, 0.780
Streptococcus mutans	1.171 ± 0.605	1.562 (0.390 - 1.562)	0.683, 1.562
Streptococcus sanguis	$0.115 \pm 0.061$	0.090  (0.040 - 0.190)	0.090, 0.165
Streptococcus salivarius	$0.115 \pm 0.061$	0.090 (0.040 - 0.190)	0.090, 0.165

Abbreviations: MIC, Minimum Inhibitory Concentration; MBC, minimum bactericidal concentration; SD, standard deviation. <sup>a</sup> Higher MBC or MIC values indicate greater resistance to the mouthwash or lower efficacy.

research has shown that the extract from oak galls contains phenolic components such as gallic acid and tannic acid. These substances contain antifungal, antibacterial, antiviral, and insect pesticide properties (3). Each Gall has a variable concentration of these substances and their antibacterial compounds. Tannic acid, which comprises 50 - 70% of the components in Mazo Gall, is the primary, effective substance. Galls from Mazo and Qalqaf have a higher tannin concentration than the other galls, at around 52% (11, 12). Hence, in the

present investigation, the inhibition efficacy of OGM was evaluated against common mouth microorganisms: *Candida tropicalis, C. albicans, S. sanguis, S. mutans,* and *S. salivarius* (8).

According to our findings, the effectiveness against the microorganisms stated is acceptable. Olivier Gall found the strongest sensitivity to *Quercus infectoria* anticaries against *Staphylococcus aureus* (13). Additionally, the concentration of 0.018  $\mu$ g/mL showed the greatest percentage of biofilm reduction (13). *Quercus infectoria* 

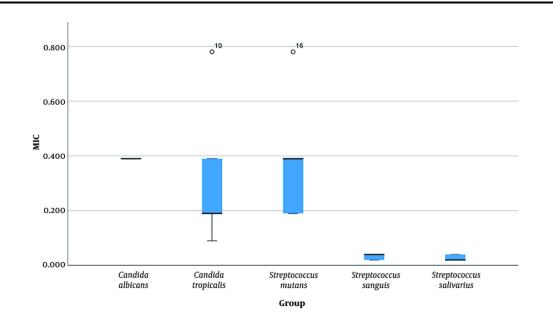


Figure 2. Box plots showing minimum inhibitory concentration (MIC) values (mg/mL) of oak gall mouthwash (OGM) against 5 organisms, showing medians, quartiles, extremums, and outliers.

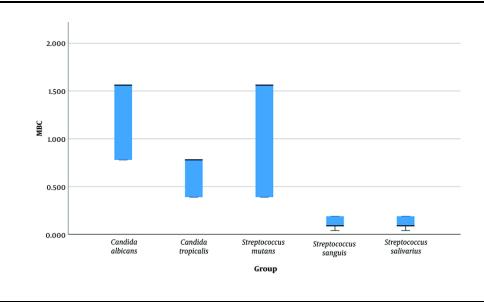


Figure 3. Box plots showing minimum bactericidal concentration (MBC) values (mg/mL) of oak gall mouthwash (OGM) against 5 organisms, showing medians, quartiles, extremums, and outliers.

olivier was shown to have antibacterial properties against *S. mutans*, *S. salivarius*, *Porphyromonas gingivalis*, and *Fusobacterium nucleatum* in a study by Basri et al. using both acetone and methanol extractions (14). In a study, Safarpour et al. assessed the ethanol, acetone, and water extractions of Iranian oak gall. Gram-negative bacteria could be less susceptible than gram-positive bacteria, according to their study's findings (8, 15).

Table 2. P-Values Calculated Using the One-Sample Wilcoxon Test					
Variables	Candida albicans	Candida tropicalis	Streptococcus mutans	Streptococcus sanguis	Streptococcus salivarius
MIC	0.014	0.026	0.026	0.023	0.023
MBC	0.023	0.023	0.023	0.026	0.026

Abbreviations: MIC, minimum inhibitory concentration; MBC, minimum bactericidal concentration.

Sample 1-Sample 2	Test Statistic	SE	Std. Test Statistic	P-Value
Streptococcus salivarius-Streptococcus sanguis	2.000	4.936	0.405	1.000
Streptococcus salivarius -Candida tropicalis	13.500	4.936	2.735	0.062
Streptococcus salivarius-Streptococcus mutans	16.500	4.936	3.343	0.008
Streptococcus salivarius -Candida albicans	18.000	4.936	3.647	0.003
Streptococcus sanguis -Candida tropicalis	11.500	4.936	2.330	0.198
Streptococcus sanguis-Streptococcus mutans	14.500	4.936	2.938	0.033
Streptococcus sanguis -Candida albicans	16.000	4.936	3.242	0.012
Candida tropicalis-Streptococcus mutans	-3.000	4.936	-0.608	1.000
Candida tropicalis- Candida albicans	4.500	4.936	0.912	1.000
Streptococcus mutans -Candida albicans	1.500	4.936	0.304	1.000

According to the observations of Basri et al. (14), water, acetone, and ethanol extractions of Darmazo oak gall were all effective against bacteria. The similar efficacy against oral plaque development in *S. mutans, S. salivarius,* and *S. sanguis* was also demonstrated by Babadi et al. using Jaft extraction (16). The results of this investigation show that Iranian OGM has good in vitro effectiveness. Because the environment in the mouth and saliva has a direct impact on how well GSM works, more research involving in vivo experiments is required to determine the most effective treatment plan for GSM.

While this preliminary in vitro study demonstrates promise, further research on herbal mouthwashes could yield significant clinical implications. If found effective and biocompatible in vitro, animal studies followed by human clinical trials can be conducted on such mouthwashes to investigate their safety and efficacy in more relevant situations. If proven useful and safe, they can be used as potential alternatives to the available antimicrobial mouthwashes that have some side effects. There are a number of clinical trial studies on the evaluation of the effect of herbal mouthwash in maintaining oral health. In a 2023 study (17), the effect of herbal mouthwash including Anacyclus pyrethrum, Punica granatum, Capparis spinosa, and O. infectoria on oral health of school children was evaluated. The results showed that after intervention, the Bleeding on Probing, Plaque Index, and Gingival Index reduced on the 30th

day from baseline. Hence, the prepared herbal mouthwash might be safe and significantly effective in maintaining oral health, and it might be used as an adjunct to control oral hygiene (17). In another clinical trial published in 2022 (18), the effect of *mouthwash consisting* of *Myrtus communis, Portulaca oleracea, P. granatum, Boswellia serrata,* and *Q. brantii* in patients with plaque-induced gingivitis was investigated (18). In that study (18), the patients received the prepared herbal mouthwash or chlorhexidine for 14 days (twice a day). At the end of the study, the results showed improvements in the periodontal indices and there were no significant differences between the patients who received herbal or synthetic mouthwash (18).

Plant polyphenols such as tannic acid and gallic acid can act as antimicrobial agents through some mechanisms (19): One of their mechanisms is interaction with proteins and bacterial cell walls. Moreover, polyphenolic compounds can inhibit nucleic acid synthesis and change the membrane permeability and the cytoplasmic functions by microbial cells (19). In the present study, statistically significant differences were found between MICs or MBCs of the tested mouthwash against some microorganisms. Such significant differences mean that the difference was less likely due to chance. In recent years, several herbal mouthwashes have been presented to the global market. Some studies conclude that herbal

Sample 1-sample 2	Test Statistic	SE	Std. Test Statistic	P-Value
Streptococcus sanguis -Candida albicans	17.667	4.983	3.546	0.004
Streptococcus salivarius -Candida albicans	17.667	4.983	3.546	0.004
Streptococcus sanguis -Candida tropicalis	11.333	4.983	2.275	0.229
Streptococcus salivarius -Candida tropicalis	11.333	4.983	2.275	0.229
Streptococcus sanguis-Streptococcus mutans	16.000	4.983	3.211	0.013
Streptococcus salivarius-Streptococcus mutans	16.000	4.983	3.211	0.013
Streptococcus sanguis-Streptococcus salivarius	0.000	4.983	0.000	1.000
Candida tropicalis-Streptococcus mutans	-4.667	4.983	-0.937	1.000
Candida tropicalis-Candida albicans	6.333	4.983	1.271	1.000
Streptococcus mutans -Candida albicans	1.667	4.983	0.334	1.000

mouthwashes reduce oral bacteria more effectively than commercial chemical alternatives, suggesting their utility and safety (20, 21). Researchers have evaluated the possible use of herbal extracts in oral hygiene products. One of the herbal mouthwashes is red ginseng mouthwash, which is important because of its abundant medicinal values, including antibacterial effects (20, 21). Some studies showed herbal mouthwashes can reduce dental plaque and consequently prevent dental caries. They may affect microbial strains such as Baccharis dracunculifolia, Q. brantii, and Zataria multiflora (21-23). In a clinical trial, the effect of a mouthwash consisting of Myrtus communis, Portulaca oleracea, P. granatum, B. serrata, and Q. brantii on plaque-induced gingivitis was investigated (18). Their patients received the prepared herbal mouthwash or chlorhexidine for 14 days (twice a day). At the end of their study, they observed improvements in periodontal indices; there were no significant differences between patients who received herbal or synthetic mouthwashes (18). In the study of Alipour et al. (22), the antimicrobial properties of the combination of Oak Husk of Q. brantii and Z. multiflora leaves were investigated. Their results showed antibacterial activity for future clinical studies (22).

Studies on herbal mouthwashes can bring inventive hygiene control measures to the equation: in this regard, our study suggests and introduces a new mouthwash that can help improve patient oral hygiene hopefully with fewer side effects, although the latter needs its own assessments. Mechanisms for such beneficial effects might be in the high content of polyphenols and tannin present in Galls of Oak. Tannin may be an effective compound responsible for the antimicrobial activity observed in this study. There are a number of mechanisms for antibacterial activity of tannin such as creating a complex between tannin and microbial enzymes (such as cellulase), changing the permeability membrane of microorganism due to the astringent properties of tannin (14, 24). Another antibacterial mechanism of tannin is exerted by its effect on bacterial metabolism through inhibition of oxidative phosphorylation (14, 24). The mechanisms for the antifungal activity of nystatin may be related to its action on the microorganism cell membrane and changing the membrane permeability and the cytoplasmic functions (25). Also, it is suggested that the mechanism for antifungal activities of nystatin is similar to mechanisms of effects of polyphenolic compounds (26).

People are increasingly using herbal medications to prevent or treat illnesses (27). Because the herbal mouthwashes have few or no adverse effects, they are employed in a variety of formulations and in both in vitro and in vivo testing. As additions to regular oral hygiene, natural plant mouthwashes may help reduce plaque and contain antibacterial properties (27).

This study was limited by some factors. The sample size of this preliminary study was not large, therefore larger studies should test and verify our results. Moreover, the results of in vitro studies are not generalizable to clinical situations full of a multitude of known and unknown confounding factors that can affect how the environment responds to the drug. On the other hand, this is at the same time the advantage of in vitro studies, since ruling out such confounding factors is exactly the reason an in vitro study is needed before conducting any more complicated animal and clinical designs. Another limitation of the study was that only the antibacterial efficacy of GSM was examined; expiration and duration of effectiveness were not assessed. Overall, the in vitro nature of the study and

its lack of additional confounding variables call for future animal studies to examine our findings in a much more complicated setting. In future studies, more comprehensive evaluation of the effectiveness of the researched herbal mouthwash seems necessary; in such studies, adding positive control (such as synthetic mouthwashes) and negative control groups will strengthen the study. Of course, in the oral environment with the presence of food, drinks, pH fluctuations, and other ever-changing factors, the efficacy of an antimicrobial product may change under some conditions. Therefore, clinical studies are needed to verify in vitro results. Future research should first identify the optimal dosage in vitro, then assess its safety again in vitro; if it was deemed biocompatible and safe, future clinical trials should evaluate its efficacy against placebo.

## 5.1. Conclusions

The findings demonstrate the antibacterial and antifungal properties of OGM. The highest MIC of the OGM was found against *S. salivarius*. Also, it showed the highest MBC against *S. salivarius* and *S. sanguis*. Furthermore, it was found that *S. Salivarius* and *C. albicans* have the highest and lowest sensitivity to the OGM, respectively, which could be due to its concentrated nature. According to this study and the previous clinical trials, the OGM can be considered as a safe and effective oral hygiene aid. In future studies, the researchers can evaluate the clinical trial of the prepared OGM and extrapolate the advantages and disadvantages of OGM.

# Footnotes

**Authors' Contribution:** F. B. conceived the manuscript and revised it. F. G. and S. Sh. did the statistical analysis. E. A. and V. R. wrote and revised the manuscript, analyzed the data, and prepared tables and figures. All Authors have read and approved the manuscript.

**Conflict of Interests Statement:** The Authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

**Data Availability:** The dataset presented in the study is available on request from the corresponding author during submission or after publication.

**Ethical Approval:** The Study was approved by the Ethics Committee of Ahvaz Jundishapur University of

Medical Sciences, Ahvaz, Iran (ethics code: IR.AJUMS.REC.1400.490).

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